

15,000 10,000

5,000

TOTAL

■ Derivative

■ Retired Player

■ Representative

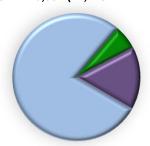
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CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

NFL SETTLEMENT PROGRAM SUMMARY REPORT (As of 3/29/21)

SECTION 1: TIMELY REGISTRATIONS TOTAL: 20,557 (17,243 RETIRED PLAYER AND REPRESENTATIVE CLAIMANTS)

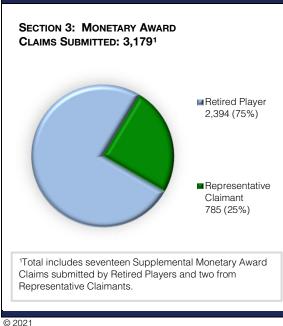
3,258



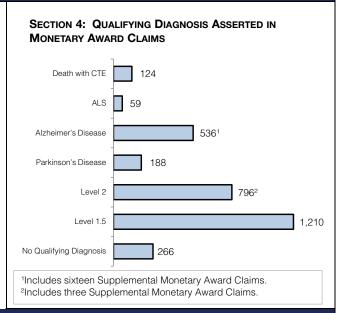
- Retired Player 15,881 (77%)
- Representative Claimant 1,362 (7%)
- **Derivative Claimant** 3,314 (16%)

SECTION 2: REGISTRATION NOTICES ISSUED BY TYPE TOTAL: 20,557 12,837 6,559 1,161 O **BAP Eligible** Not BAP Eligible Adverse Notice Incomplete Registration 12,837 6,559 1,161 0 12,657 2,422 802 0 180 879 303 0

56



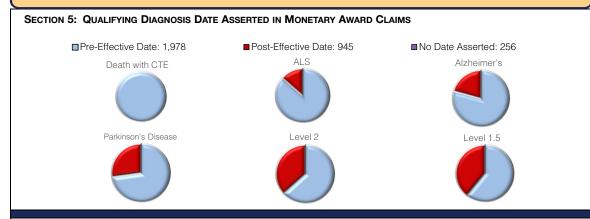
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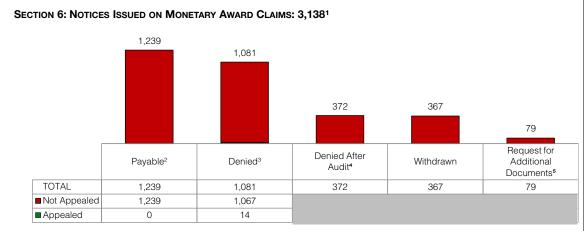


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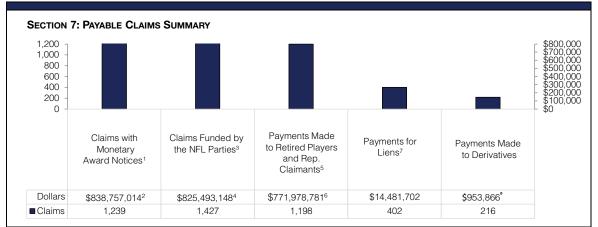






¹ Section 6 counts all Monetary Award claims that have received a notice after claim processing. Claims receiving multiple notices are counted only once based on the most recent notice issued. The number of appealed notices reflects only claims that have been appealed on the most recent notice issued. For a cumulative total of all appeals, see Section 9.

⁵ Includes five Notices of Supplemental Request for Additional Documents.



¹The dollars and number of claims shown are the number of notices issued before holdbacks for potential Derivative Claimants, common benefit fees, liens and any determinations on appeals.

² Includes ten payable Supplemental Monetary Award Notices.

³ Includes three denied Supplemental Monetary Award Notices.

⁴ The results of the audit closed these claims and directed further alternatives for these Settlement Class Members

² Amount includes ten Supplemental Monetary Awards for \$1,552,598

³ The dollars and number of claims shown include claims from Retired Players, Representative Claimants, and Derivative Claimants.

⁴ Claims Funded by NFL Parties includes nine Supplemental Monetary Claims for \$1,337,314 and 217 Derivative Claims for \$1,007,325.

⁵The dollars shown include payments issued on behalf of Settlement Class Members to Third-Party Funders who have accepted rescission of prohibited assignments entered into with Settlement Class Members.

⁶ Payments Made to Retired Players and Representative Claimants include nine Supplemental Monetary Claims for \$927,032.

⁷ The claims shown reflect the Settlement Class Members on whose behalf the Settlement Program has issued payments to resolve liens. The dollars shown reflect all payments the Settlement Program has made on behalf of Settlement Class Members to resolve liens, including (1) payments to the Lien Resolution Administrator to resolve medical liens, (2) payments to the Lien Resolution Administrator for its lien fees, and (3) payments directly to lienholders to resolve non-medical liens.

⁸ Payments made to Derivatives includes one Notice of Supplemental Derivative Claimant Award Determination for \$724.85.



STATUS OF MONETARY AWARD CLAIMS **SECTION 8** (BASED ON LAST NOTICE OR ACTION ON CLAIM)

(BASED ON LAST NOTICE OR ACTION ON CLAIM)										
		CTE	ALS	Alzheimer's Disease	Parkinson's Disease	Level 2	Level 1.5	No Qualifying Diagnosis	Total	%
1.	In Review Process at Claims Administrator	0	1	7	0	6	13	5	32	1%
2.	MAF Rule 20 Deviation Explanation Required	0	0	0	0	7	12	0	19	<1%
3.	Special Master Remanded Claim to Claims Administrator	0	0	1	0	1	2	0	4	<1%
4.	Notice Ready to Issue	0	0	5	1	5	11	0	22	<1%
5.	Needs Special Master Statute of Limitations Review	0	0	1	1	0	0	0	2	<1%
6.	Last Notice was for Incomplete Claim Package ¹	0	0	2	2	3	3	2	12	<1%
7.	Ready for Review by AAP	0	0	0	0	3	8	1	12	<1%
8.	Last Notice was Award Notice ²	0	0	1	3	5	7	0	16	<1%
9.	Last Notice was Denial Notice ³	0	0	0	0	3	5	2	10	<1%
10.	On Appeal Now⁴	0	0	0	0	6	7	0	13	<1%
11.	In Audit Investigation ⁵	0	0	3	2	8	10	1	24	<1%
12.	In Audit-Failure to Provide Information	0	0	0	0	0	0	0	0	0%
13.	Referred to the Special Investigator by the Special Masters	0	0	0	0	0	0	0	0	0%
14.	Adverse Audit Report with the Parties for Review	0	0	0	0	0	0	0	0	0%
15.	Adverse Audit Report Awaiting Response from Those Audited	0	0	0	0	0	0	0	0	0%
16.	Adverse Audit Report with the Special Masters for Review	0	0	0	0	0	0	0	0	0%
17.	Denied After Audit ⁶	0	0	38	0	150	182	2	372	12%
18.	Final Denial	41	3	77	11	246	446	232	1,056	33%
19.	Withdrawn	3	14	42	15	146	126	21	367	12%
20.	Ready for Next Month's Payment List	0	0	0	2	1	0	0	3	<1%
21.	On this Month's Payment List	0	0	4	2	3	8	0	17	<1%
22.	Paid ⁷	80	41	355	149	203	370	0	1,198	38%
23.	Total Claim Packages Submitted	124	59	536	188	796	1,210	266	3,179	100%

^{1.} The Program has issued notices to 2,138 incomplete claim packages, which is 71% of all Level 1.5 and Level 2 claims and 61% of all other claims.

^{2.} For details on all claims receiving an Award Notice and the dollar values assigned, see Sections 7 and 11.

^{3.} For details on all denied claims, including the denial reasons, see Section 10.

4. For details on all appeals filed by Settlement Class Members and the NFL parties, see Section 9.

^{5.} The Program has audited 1,288, or 40%, of all claims, including 682 claims removed from audit and put back into the claims review process, 24 claims currently in Audit Investigation, 206 claims that were withdrawn while in audit, and 372 claims denied after audit.

^{6.} The results of the audit denied these claims and directed further alternatives for these Settlement Class Members.

^{7.} For total dollars paid to or on behalf of these Settlement Class Members, see Part 5 of Section 11.



SECT	SECTION 9 STATUS OF ALL APPEALS ON MONETARY AWARD CLAIMS						
	Status ¹	Appealed by Class Member	Appealed by NFL Parties	Total			
Α.	Payable Claims	67	142	209			
1.	Appeal Filed and in Preliminary Steps	0	0	0			
2.	Appellee's Opposition Memo Not Received	0	0	0			
3.	Appellee's Opposition Memo Received	0	0	0			
4.	Remanded to Claims Administrator	27	9	36			
5.	With Special Masters for Decision	0	0	0			
6.	Result Upheld on Appeal	28	91	119			
7.	Result Overturned on Appeal	6	24	30			
8.	Closed or Withdrawn ²	6	18	24			
B.	Denied Claims	384	0	384			
1.	Appeal Filed and in Preliminary Steps	6	0	6			
2.	Appellee's Opposition Memo Not Received	3	0	3			
3.	Appellee's Opposition Memo Received	1	0	1			
4.	Remanded to Claims Administrator	128	0	128			
5.	With Special Masters for Decision	4	0	4			
6.	Result Upheld on Appeal	178	0	178			
7.	Result Overturned on Appeal	8	0	8			
8.	Closed or Withdrawn ²	56	0	56			
C.	TOTAL APPEALS	451	142	593			

¹ Class Counsel has filed statements in 52 of the Appeals across multiple statuses in the Appeals Process.

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² These are appeals we are no longer processing because (1) the appealing party withdrew or (2) it was closed after being in audit.



	Section 10 Reasons in Notices of Denial Issued on Monetary Av	vard Claims
	Reason	Notices*
1.	Death with CTE Claims	41
	(a) Claim Denied by Special Master	26
	(b) Death with CTE Denial - Death After Final Approval	8
	(c) Incomplete Claim Package	6
	(d) Complete Claim Package Not Provided	3
	(e) Medical Records Do Not Support Claimed Qualifying Diagnosis	1
	(f) Death with CTE Denial - Death Before 7/7/14 and QD After Final Approval	1
2.	ALS Claims	3
	(a) Incomplete Claim Package	3
	(b) Claim Denied by Special Master	1
3.	Alzheimer's Disease Claims	77
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	41
	(b) Incomplete Claim Package	17
	(c) Claim Denied by Special Master	11
	(d) Appeals Advisory Panel Denial - Inappropriate Physician	6
	(e) Complete Claim Package Not Provided	6
	(f) Medical Records Do Not Support Claimed Qualifying Diagnosis	3
	(f) Duplicate of Previous Claim	3
	(g) No Qualifying Diagnosis	2
	(i) Appeals Advisory Panel Denial - MAF Oversight	1
	(j) Disqualified Physician	1
	(k) Incomplete HIPAA Form	1
	(I) Diagnosis Not Later In Time	1
4.	Parkinson's Disease Claims	11
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	6
	(b) Appeals Advisory Panel Denial - Inappropriate Physician	4
	(c) Incomplete Diagnosing Physician Certification Form	2
	(d) No Qualifying Diagnosis	1



	Section 10 Reasons in Notices of Denial Issued on Monetary Av	
	Reason	Notices*
	(e) Claim Denied by Special Master	1
	(f) Appeals Advisory Panel Denial - MAF Oversight	1
5.	Level 2 Claims	256
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	86
	(b) Claim Denied by Special Master	67
	(c) Appeals Advisory Panel Denial - MAF Oversight	35
	(d) Incomplete Claim Package	24
	(e) Complete Claim Package Not Provided	19
	(f) Disqualified Physician	17
	(g) Medical Records Do Not Support Claimed Qualifying Diagnosis	10
	(h) Appeals Advisory Panel Denial - Inappropriate Physician	10
	(i) Incomplete Diagnosing Physician Certification Form	9
	(j) Incomplete Claim Form	7
	(k) Appeals Advisory Panel Denial - Special Assignment	6
	(I) No Qualifying Diagnosis	5
	(m) BAP Diagnosis of No Neurocognitive Impairment	1
	(n) Disqualified Neuropsychologist	1
6.	Level 1.5 Claims	459
	(a) Appeals Advisory Panel Denial - Qualifying Diagnosis	223
	(b) Claim Denied by Special Master	84
	(c) Medical Records Do Not Support Claimed Qualifying Diagnosis	67
	(d) Appeals Advisory Panel Denial - MAF Oversight	41
	(e) Complete Claim Package Not Provided	36
	(f) Incomplete Claim Package	35
	(g) Appeals Advisory Panel Denial - Inappropriate Physician	25
	(h) No Qualifying Diagnosis	19
	(i) Incomplete Diagnosing Physician Certification Form	11
	(j) Disqualified Physician	11
	(k) Appeals Advisory Panel Denial - Special Assignment	7
	(k) Appeals Advisory Pariel Denial - Special Assignment	/



	Reason	Notices*
	(I) Incomplete Claim Form	3
	(m) Disqualified Neuropsychologist	3
<u>'</u> .	No Qualifying Diagnosis - Complete Claim Package Not Provided	234
	(a) Incomplete Claim Package	347
	(b) Incomplete Claim Form	269
	(c) Complete Claim Package Not Provided	26
	(d) Incomplete Diagnosing Physician Certification Form	12
	(e) BAP Diagnosis of No Neurocognitive Impairment	11
	(f) Medical Records Do Not Support Claimed Qualifying Diagnosis	10
	(g) Claim Denied by Special Master	8
	(h) Not Registered	7
	(i) No Qualifying Diagnosis	5
	(j) Untimely Claim Package	3
	(k) Incomplete HIPAA Form	2
	(I) Death with CTE Denial - Death After Final Approval	2
	TOTALS	1,081

SE	SECTION 11 MONETARY AWARD PAYMENTS					
	Status by Confirmed Qualifying Diagnosis	Number	Amount			
1.	Notices of Monetary Award (Dollars Shown are Before Holdbacks)	1,239	\$838,757,014			
	(a) Death with CTE	80	\$93,248,944			
	(b) ALS	41	\$102,658,085			
	(c) Alzheimer's Disease ¹	360	\$164,054,453			
	(d) Parkinson's Disease	156	\$110,407,825			
	(e) Level 2.0 Neurocognitive Impairment ²	212	\$158,220,849			
	(f) Level 1.5 Neurocognitive Impairment	390	\$210,166,858			
2.	Not Ready to be Included on the Next Monthly Payment List; or a Claim Hold is in Place (Dollars Shown are Before Holdbacks)	21	\$9,005,480			
	(a) Death with CTE	0	\$0			
	(b) ALS	0	\$0			
	(c) Alzheimer's Disease	1	\$211,326			
	(d) Parkinson's Disease	3	\$1,385,648			
	(e) Level 2.0 Neurocognitive Impairment	5	\$3,107,153			
	(f) Level 1.5 Neurocognitive Impairment	12	\$4,301,353			
3.	Ready to be Included on the Next Monthly Payment List (Dollars Shown are Before Holdbacks)	3	\$3,707,141			
	(a) Death with CTE	0	\$0			
	(b) ALS	0	\$0			
	(c) Alzheimer's Disease	0	\$0			
	(d) Parkinson's Disease	2	\$3,689,878			
	(e) Level 2.0 Neurocognitive Impairment	1	\$17,263			
	(f) Level 1.5 Neurocognitive Impairment	0	\$0			
4.	Claims in the Payment Process (Dollars Shown are After Holdbacks)	17	\$11,475,784			
	(a) Death with CTE	0	\$0			
	(b) ALS	0	\$0			
	(c) Alzheimer's Disease	4	\$2,690,032			
	(d) Parkinson's Disease	2	\$3,743,824			
	(e) Level 2.0 Neurocognitive Impairment	3	\$1,963,619			
	(f) Level 1.5 Neurocognitive Impairment	8	\$3,078,308			
5.	Paid Claims/Paid to or on Behalf of Class Members or to Lien Holders ³	1,413	\$772,931,923			
	(a) Death with CTE	80	\$89,168,699			
	(b) ALS	41	\$97,101,622			
	(c) Alzheimer's Disease ⁴	355	\$152,654,857			
	(d) Parkinson's Disease	149	\$95,755,997			
	(e) Level 2.0 Neurocognitive Impairment ⁵	203	\$144,996,493			
	(f) Level 1.5 Neurocognitive Impairment	370	\$192,301,112			
	(g) Derivative Claimants	216	\$953,866			
	(y) Derivative Cialifiants	210	φ 3 υδ,ουυ			

¹ The claims and dollars shown include eight Supplemental Monetary Awards for \$1,413,960.

 $^{^{\}rm 2}$ The claims and dollars shown include two Supplemental Monetary Award for \$138,639.

³ The dollars shown reflect payments issued to Settlement Class Members and on their behalf after all applicable deductions (e.g., Common Benefits Fees, lien holdbacks, potential rescission amounts for Third-Party Funders, etc.).

⁴ Seven Alzheimer's Disease Supplemental Monetary Awards received payments totaling \$822,238.

 $^{^{\}rm 5}$ Two Level 2.0 Supplemental Monetary Awards received payments totaling \$104,794.